

# A Generalized Cross Ratio

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# Impossible Things

*“The cross-ratio does not generalize in a simple manner to higher dimensions” - Wikipedia*

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# The Classical Cross Ratio

## Definition

Given four finite distinct points  $z_1$ ,  $z_2$ ,  $z_3$ , and  $z_4$  in the complex plane, the cross ratio is defined as

$$(z_1, z_2, z_3, z_4) = \frac{(z_1 - z_3)(z_2 - z_4)}{(z_1 - z_4)(z_2 - z_3)}.$$

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If  $z_i = \infty$  for some  $i = 1, 2, 3, 4$  then we cancel the terms with  $z_i$ . For example, if  $z_1 = \infty$ , then

$$(z_1, z_2, z_3, z_4) = \frac{(z_2 - z_4)}{(z_2 - z_3)}.$$

# Linear Fractional Maps

## Definition

A linear fractional map, also known as a Möbius transformation, is defined as

$$\phi(z) = \frac{az + b}{cz + d}$$

where the coefficients  $a$ ,  $b$ ,  $c$ , and  $d$  are complex numbers such that  $ad - bc \neq 0$ .

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where the coefficients  $a$ ,  $b$ ,  $c$ , and  $d$  are complex numbers such that  $ad - bc \neq 0$ .

If  $\phi$  is a linear fractional map in one complex variable and  $\phi(z_i) = w_i$  for  $i = 1, 2, 3$  then

$$\frac{(w - w_2)(w_1 - w_3)}{(w - w_3)(w_1 - w_2)} = \frac{(z - z_2)(z_1 - z_3)}{(z - z_3)(z_1 - z_2)}.$$

## Homogeneous Coordinates.

Given  $w = (z_1, z_2) \in \mathbb{C}^{N+1}$   
where  $z_1 \in \mathbb{C}^N$  and  $z_2 \in \mathbb{C}$  with  
 $w \neq (0, 0)$ , we identify  $w \sim \frac{z_1}{z_2}$  .  
Thus, homogeneous coordinates  
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Notice that in  $\mathbb{C}$ , the point at  
infinity is now nicely described by  
 $(1, 0)$ . In  $\mathbb{C}^N$  for  $N > 1$ , this  
corresponds to 'a' point at  
infinity.



# The Associated Matrix

For each LFM in  $\mathbb{C}$ , we define the associated matrix by

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Suppose  $\tilde{w} = m_\phi \tilde{z}$  where  $z \sim \tilde{z} = \begin{pmatrix} z_1 \\ z_2 \end{pmatrix}$  and  $w \sim \tilde{w} = \begin{pmatrix} w_1 \\ w_2 \end{pmatrix}$ .

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Then we have

$$w = \frac{w_1}{w_2} = \frac{az_1 + bz_2}{cz_1 + dz_2} = \frac{a \begin{pmatrix} z_1 \\ z_2 \end{pmatrix} + b}{c \begin{pmatrix} z_1 \\ z_2 \end{pmatrix} + d} = \frac{az + b}{cz + d}.$$

Thus, the associated matrices are linear transformations acting on the homogeneous coordinates in  $\mathbb{C}$ !

# Linear Fractional Maps in $\mathbb{C}^N$ .

## Definition

A map  $\phi$  is called a linear fractional map if

$$\phi(z) = \frac{Az + B}{\langle z, C \rangle + D}$$

where  $A$  is an  $N \times N$  matrix,  $B$  and  $C$  are column vectors in  $\mathbb{C}^N$ , and  $D \in \mathbb{C}$ . (Cowen and MacCluer 2000)

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For each LFM in  $\mathbb{C}^N$ , we define the associated matrix by

$$\phi(z) = \frac{Az + B}{\langle z, C \rangle + D} \quad \leftrightarrow \quad m_\phi = \begin{pmatrix} A & B \\ C^* & D \end{pmatrix}.$$

## Relations Between a LFM and its Associated Matrix.

- From above we see that if  $w = \phi(z)$  with  $\tilde{z} \sim z$  and  $\tilde{w} \sim w$ , then  $\tilde{w} \sim m_\phi \tilde{z}$  and vice-versa.

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- From above we see that if  $w = \phi(z)$  with  $\tilde{z} \sim z$  and  $\tilde{w} \sim w$ , then  $\tilde{w} \sim m_\phi \tilde{z}$  and vice-versa.
- A routine calculation shows that

$$m_{\phi_1 \circ \phi_2} = m_{\phi_1} m_{\phi_2} \quad \text{and} \quad m_{\phi^{-1}} = (m_\phi)^{-1}.$$



# The Cross Ratio in Homogeneous Coordinates

## Definition

If  $z = (z_1, z_2)$  and  $w = (w_1, w_2)$  are points in  $\mathbf{CP}^1$ , then we define

$$[z, w] = \det \begin{pmatrix} z_1 & w_1 \\ z_2 & w_2 \end{pmatrix}.$$

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## Definition

Let  $w_1, w_2, w_3$ , and  $w_4$  be four distinct points in  $\mathbf{CP}^1$ , then we define the cross ratio to be

$$(w_1, w_2, w_3, w_4) = \frac{[w_1, w_3][w_2, w_4]}{[w_1, w_4][w_2, w_3]}.$$

## Demonstration of Equivalence

Given four finite distinct points  $z_1, z_2, z_3,$  and  $z_4$  in  $\mathbb{C}$ , we write the associated points as  $w_i = (z_i, 1)$  for  $i = 1, 2, 3, 4$  and find

$$\begin{aligned}(z_1, z_2, z_3, z_4) &= \frac{(z_1 - z_3)(z_2 - z_4)}{(z_1 - z_4)(z_2 - z_3)} = \frac{\det \begin{pmatrix} z_1 & z_3 \\ 1 & 1 \end{pmatrix} \det \begin{pmatrix} z_2 & z_4 \\ 1 & 1 \end{pmatrix}}{\det \begin{pmatrix} z_1 & z_4 \\ 1 & 1 \end{pmatrix} \det \begin{pmatrix} z_2 & z_3 \\ 1 & 1 \end{pmatrix}} \\ &= \frac{[w_1, w_3][w_2, w_4]}{[w_1, w_4][w_2, w_3]} = (w_1, w_2, w_3, w_4).\end{aligned}$$

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What happens if one of the points is infinite?

# Let's Start Generalizing!

## Definition

Let  $z_i = (z_{1i}, z_{2i}, \dots, z_{N+1,i})$  for  $i = 1, \dots, N + 1$  be elements of  $\mathbf{CP}^N$ , then we define

$$[z_1, z_2, \dots, z_{N+1}] = \det \begin{pmatrix} z_{11} & z_{12} & z_{13} & \cdots & z_{1,N+1} \\ z_{21} & z_{22} & z_{23} & \cdots & z_{2,N+1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ z_{N+1,1} & z_{N+1,2} & z_{N+1,3} & \cdots & z_{N+1,N+1} \end{pmatrix}.$$

# Generalizing to Two Variables

## Definition

Given 5 distinct points  $z_i$  for  $i = 1, \dots, 5$  in  $\mathbf{CP}^2$ , we define the cross ratio as

$$(z_1, z_2, z_3, z_4, z_5) = \frac{[z_1, z_3, z_5][z_2, z_4, z_5]}{[z_1, z_4, z_5][z_2, z_3, z_5]}.$$

## Why This Choice?

This choice “reduces” to our usual cross ratio using appropriate coordinates. For the vector  $z_i = (z_{1i}, z_{2i}, z_{3i})$  for  $i = 1, \dots, 4$ , if we choose local coordinates  $z_{3i} = 1$ ,  $x_i = \frac{z_{1i}}{z_{3i}}$ , and  $y_i = \frac{z_{2i}}{z_{3i}}$ , we get vectors in  $\mathbb{C}^2/\{0\}$  which we can interpret as  $\mathbf{CP}^1$  and is sufficient to define the standard cross ratio. We still have one extra vector  $z_5$ . Choose  $z_5 = (0, 1, 0)$  to obtain

## Why This Choice?

$$\begin{aligned} (z_1, z_2, z_3, z_4, z_5) &= \frac{[z_1, z_3, z_5][z_2, z_4, z_5]}{[z_1, z_4, z_5][z_2, z_3, z_5]} \\ &= \frac{\det \begin{pmatrix} z_{11} & z_{13} & 0 \\ z_{21} & z_{23} & 1 \\ z_{31} & z_{33} & 0 \end{pmatrix} \det \begin{pmatrix} z_{12} & z_{14} & 0 \\ z_{22} & z_{24} & 1 \\ z_{32} & z_{34} & 0 \end{pmatrix}}{\det \begin{pmatrix} z_{11} & z_{14} & 0 \\ z_{21} & z_{24} & 1 \\ z_{31} & z_{34} & 0 \end{pmatrix} \det \begin{pmatrix} z_{12} & z_{13} & 0 \\ z_{22} & z_{23} & 1 \\ z_{32} & z_{33} & 0 \end{pmatrix}} \end{aligned}$$



## Why This Choice?

$$\begin{aligned}(z_1, z_2, z_3, z_4, z_5) &= \frac{[z_1, z_3, z_5][z_2, z_4, z_5]}{[z_1, z_4, z_5][z_2, z_3, z_5]} \\ &= \frac{\det \begin{pmatrix} x_1 & x_3 & 0 \\ y_1 & y_3 & 1 \\ 1 & 1 & 0 \end{pmatrix} \det \begin{pmatrix} x_2 & x_4 & 0 \\ y_2 & y_4 & 1 \\ 1 & 1 & 0 \end{pmatrix}}{\det \begin{pmatrix} x_1 & x_4 & 0 \\ y_1 & y_4 & 1 \\ 1 & 1 & 0 \end{pmatrix} \det \begin{pmatrix} x_2 & x_3 & 0 \\ y_2 & y_3 & 1 \\ 1 & 1 & 0 \end{pmatrix}} \\ &= \frac{(x_1 - x_3)(x_2 - x_4)}{(x_1 - x_4)(x_2 - x_3)} = (x_1, x_2, x_3, x_4).\end{aligned}$$

## Generalizing to Two Variables

### Definition

We define the cross ratio pair in  $\mathbf{CP}^2$  as

$$(z_1, z_2, z_3, z_4, z_5)_2 = \left( \frac{[z_1, z_3, z_5][z_2, z_4, z_5]}{[z_1, z_4, z_5][z_2, z_3, z_5]}, \frac{[z_1, z_3, z_4][z_2, z_4, z_5]}{[z_1, z_4, z_5][z_2, z_3, z_4]} \right)$$

where we see that this defines a linear fractional map when the point associated with  $z_1$  is a variable in  $\mathbb{C}^2$ .

## Generalizing to $N$ Variables

### Definition

Given  $N + 3$  distinct points  $z_i$  for  $i = 1, \dots, N + 3$  in  $\mathbf{CP}^N$ , we define the cross ratio as

$$(z_1, z_2, \dots, z_{N+2}, z_{N+3}) = \frac{[z_1, z_3, z_5, \dots, z_{N+3}][z_2, z_4, z_5, \dots, z_{N+3}]}{[z_1, z_4, z_5, \dots, z_{N+3}][z_2, z_3, z_5, \dots, z_{N+3}]}$$

where each ellipsis represents the ordered sequence of omitted digits.

## Generalizing to $N$ Variables

### Definition

To simplify things, we introduce new notation. We define  $[z_i, z_j]_N^c$  by

$$[z_i, z_j]_N^c = [z_1, \dots, z_{i-1}, z_{i+1}, \dots, z_{j-1}, z_{j+1}, \dots, z_{N+3}].$$

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### Definition

We define the cross ratio  $N$ -tuple as

$$(z_1, z_2, \dots, z_{N+2}, z_{N+3})_N = \left( \left\{ \frac{[z_2, z_i]_N^c [z_1, z_3]_N^c}{[z_1, z_i]_N^c [z_2, z_3]_N^c} \right\}_{i=4, \dots, N+3} \right)$$

where the curly brackets denote a sequence over  $i = 4, \dots, N + 3$ .

### **Theorem**

*The cross ratio (and it's corresponding  $N$ -tuple) is independent of the representative chosen.*

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### **Theorem**

*Let  $m_\phi$  be the associated matrix to an invertible linear fractional map  $\phi$ ; that is,  $\det m_\phi \neq 0$ . Then the cross ratio is invariant under  $m_\phi$ .*

## Transitivity in $\mathbb{C}$

Recall LFMs in  $\mathbb{C}$  are 3-transitive but not 4-transitive. That is, for six points  $z_1, z_2, z_3, w_1, w_2,$  and  $w_3$  in  $\mathbb{C}$  where the  $z_i$ 's are pairwise distinct as are the  $w_i$ 's, there is a unique linear fractional map  $\phi$  such that  $\phi(z_i) = w_i$  for  $i = 1, 2, 3$ .



## The Strategy

Consider the map  $\phi(z) = \frac{(z-z_2)(z_1-z_3)}{(z-z_3)(z_1-z_2)}$  where  $z_1$ ,  $z_2$ , and  $z_3$  are distinct. We may write the point associated with  $\phi(z)$  as

$$m_{\phi}z = \begin{pmatrix} (z - z_2)(z_1 - z_3) \\ (z - z_3)(z_1 - z_2) \end{pmatrix}.$$

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$$m_\phi z = \begin{pmatrix} (z - z_2)(z_1 - z_3) \\ (z - z_3)(z_1 - z_2) \end{pmatrix}.$$

Where does this send the triple  $(z_1, z_2, z_3)$ ?

## Additional Assumptions

Taking two distinct points  $z_1$  and  $z_2$  in  $\mathbb{C}$  implies that the associated points are linearly independent in  $\mathbb{C}^2$ .

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Taking two distinct points  $z_1$  and  $z_2$  in  $\mathbb{C}$  implies that the associated points are linearly independent in  $\mathbb{C}^2$ .

It is not true that taking three distinct points in  $\mathbb{C}^N$  implies the associated points will be linearly independent in  $\mathbb{C}^{N+1}$ . This fact will necessitate an additional assumption of linear independence.

## More Generalizing

Define the linear fractional map  $\phi$  in  $\mathbb{C}^2$  by

$$\phi(z) = \left( \frac{[z, z_3, z_5][z_2, z_4, z_5][z_2, z_3, z_4]}{[z, z_4, z_5][z_2, z_3, z_4][z_2, z_3, z_5]}, \frac{[z, z_3, z_4][z_2, z_3, z_5][z_2, z_4, z_5]}{[z, z_4, z_5][z_2, z_3, z_4][z_2, z_3, z_5]} \right).$$

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Then the point  $w$  associated with  $\phi(z)$  is given by

$$w = m_\phi z = \begin{pmatrix} [z, z_3, z_5][z_2, z_4, z_5][z_2, z_3, z_4] \\ [z, z_3, z_4][z_2, z_3, z_5][z_2, z_4, z_5] \\ [z, z_4, z_5][z_2, z_3, z_4][z_2, z_3, z_5] \end{pmatrix}.$$

Where does this map send the quadruple  $z_2, z_3, z_4, z_5$ ?

## A Counterexample?

$$z_2 = (2, -1)$$

$$z_3 = (0, 0)$$

$$z_4 = (1, 0)$$

$$z_5 = (0, 1)$$

$$\tilde{z}_2 = (2, -1, 1)$$

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The obstruction:  $\tilde{z}_2 = 0\tilde{z}_3 + 2\tilde{z}_4 - \tilde{z}_5$  implies  $\tilde{z}_2$  is linearly dependent on  $\tilde{z}_3$  and  $\tilde{z}_4$ , causing  $[z_2, z_3, z_4]$  to equal zero.



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Independence Hypothesis:  $\tilde{z}_2 = \alpha\tilde{z}_3 + \beta\tilde{z}_4 + \gamma\tilde{z}_5$  where  $\alpha, \beta, \gamma$  are all non-zero.

# Our Map

Using  $\phi$  defined above,  $m_\phi \tilde{z}_2 = (1, 1, 1)$ ,  $m_\phi \tilde{z}_3 = (0, 0, 1)$ ,  $m_\phi \tilde{z}_4 = (1, 0, 0)$ , and  $m_\phi \tilde{z}_5 = (0, 1, 0)$  as desired where  $(1, 1, 1)$  is associated with  $(1, 1)$ ,  $(0, 0, 1)$  with  $(0, 0)$ , and vectors such as  $(1, 0, 0)$ , which we denote by  $e_{1,\infty}$ , and  $(0, 1, 0)$ , which we denote by  $e_{2,\infty}$ , correspond to the points in the hyperplane at infinity that are tangent to  $(0, 1)$  and  $(1, 0)$ , respectively.

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Using similar methods as in one variable, it can be shown this map is unique.

## Some More Theorems

### Theorem

*Given 4 pairwise distinct points  $\{z_i\}_{i=1}^4$  in  $\mathbb{C}^2$  with associated points  $\{u_i\}_{i=1}^4$  and 4 pairwise distinct points  $\{w_i\}_{i=1}^4$  in  $\mathbb{C}^2$  with associated points  $\{v_i\}_{i=1}^4$  such that the sets  $\{u_i\}_{i=1}^4$  and  $\{v_i\}_{i=1}^4$  satisfy the independence hypothesis, there exists a unique linear fractional map  $\chi(z)$  such that  $\chi(z_i) = w_i$  for  $i = 1, \dots, 4$ .*

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If  $\phi$  fixes 4 distinct points  $\{z_i\}_1^4$  satisfying the independence hypothesis, then  $\phi$  is the identity.

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### Theorem

*If  $\phi$  fixes 4 distinct points  $\{z_i\}_1^4$  satisfying the independence hypothesis, then  $\phi$  is the identity.*

From this we can conclude that our LFM's in  $\mathbb{C}^2$  are 4-transitive but not 5-transitive.

## Generalizing to Any Dimensions

These results generalize in the natural way to  $\mathbb{C}^N$  (Pilla 2020).

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In particular, LFMs in  $\mathbb{C}^N$  are  $(N + 2)$ -transitive but not  $(N + 3)$ -transitive.



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These results generalize in the natural way to  $\mathbb{C}^N$  (Pilla 2020).

In particular, LFMs in  $\mathbb{C}^N$  are  $(N + 2)$ -transitive but not  $(N + 3)$ -transitive.

# Questions?

# Thank you!

[www.michaelrpilla.com](http://www.michaelrpilla.com)

# References



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